

OFFICE COPY

# Little Rock Trade and Investment Ltd.

Admin. Office: 9/14, (First Floor) East Patel Nagar, New Delhi – 110008

Website: www.lrtil.co.in

Ph: +911141412139/25769111

Email: secretarial@lrtil.co.in/ satishagarwal307@yahoo.com

CIN: L67120WB1981PLC033628

**The President**  
**Kolkata Stock Exchange Ltd**  
7- Lyons Range  
Kolkata – 700001

Date: April 10, 2017

Ref	CSE Scrip Code = 22055 & 10022055
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**Sub:** Shareholding Pattern under Regulation 31(1)(b) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 for Quarter ended March 31, 2017.

Dear Sir/Madam,

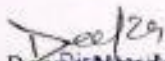
Pursuant to requirement of Regulation 31(1)(b) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions, if any, please find enclosed herewith Shareholding Pattern for the Quarter ended March 31, 2017.

Please acknowledge receipt

Thanking you

Yours faithfully  
For Little Rock Trade & Investment Ltd.

For Little Rock Trade and Investment Ltd.

  
Deepa Nanda, Authorised Signatory  
(Director)  
(DIN:06535258)

**Encl:** Share Holding Pattern for Quarter ended March 31, 2017.

Little Rock Trade and Investment Ltd.

22055/Equity

Regulation 31(1)(b)

March 31, 2017

NA

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares?	-	No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3. Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4. Whether the Listed Entity has any shares in locked-in?	-	No
5. Whether any shares held by promoters are pledged or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of Concentration on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the ratios will be considered as 'Zero' to default in submission of the form of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows :

Table I - Summary Statement holding of specified securities

Category (A)	Category of shareholders (B)	No. of share holders (B)	No. of fully paid up equity shares held (C)	No. of partly paid up equity shares held (D)	No. of shares underlying Depository Receipts (E)	Total nos. shares held (F) = (C)+(D)+(E)	Shareholding as a % of total nos. of shares (G) = (F)/(A) * 100	Shareholding as a % of paid up equity (H) = (C)/(D) * 100	Number of Voting Rights held in each class of securities (I)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (J)	Shareholding as a % of convertible securities as a percentage of diluted shares (K) = (J)/(I)+(J) * 100	Number of Locked in shares (L)	Number of Shares pledged or otherwise encumbered (M)		Number of equity shares held in dematerialized form (N)		
									Total as a % of (H)+(I)+(J)					No. (O)	As a % of total share held (P)		No. (Q)	As a % of total share held (R)
									Class X	Class Y								
(A)	Promoters & Promoter Group	3	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0	0	0.00	0		
(B)	Public	33	114000	0	0	114000	9.15	0	0	0	0.00	0	0	0	0.00	0		
(C)	Non Promoter Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0		
(D)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0		
(E)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0		
	Total	36	1249000	0	0	1249000	100	0	0	0	0.00	0	0	0	0.00	0		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (A)	PAN (B)	No. of shares held (B)	No. of fully paid up equity shares held (C)	No. of partly paid up equity shares held (D)	No. of shares underlying Depository Receipts (E)	Total nos. shares held (F) = (C)+(D)+(E)	Shareholding as a % of total nos. of shares (G) = (F)/(A) * 100	Number of Voting Rights held in each class of securities (H)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (I)	Shareholding as a % of convertible securities as a percentage of diluted shares (J) = (I)/(H)+(I) * 100	Number of Locked in shares (K)	Number of Shares pledged or otherwise encumbered (L)		Number of equity shares held in dematerialized form (M)		
								Total as a % of (G)+(H)+(I)					No. (N)	As a % of total share held (O)		No. (P)	As a % of total share held (Q)
								Class X	Class Y								
(A)	Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	
(B)	Creditors and other Stakeholders (C)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	
(C)	Financial Institutions (D)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	
(D)	Any Other (E) (Sub-Category)	3	1135000	0	0	1135000	90.87	0	0	0	0.00	0	0	0	0.00	0	
(E)	Management Controlled (F) (Sub-Category)	0	446000	0	0	446000	35.78	0	0	0	0.00	0	0	0	0.00	0	

Category & Name of the Shareholders	PAN (10)	No. of shares held (18)	No. of fully paid up equity shares held (19)	Pre-emptive rights (20)	No. of shares underlying Depository Receipts (21)	Total no. shares held (22) = (18)+(19)+(21)	Shareholding % calculated as per SCRR,1957 as a % of (A+B+C) *100	No. of Voting Rights	Total as a % of Total Voting Rights	Number of Locked in shares	Total shareholding as a % standing in relation to convertible securities (on a diluted share capital) (23)	Number of Locked in shares (24)	As a % of total shares applicable (25)	As a % of total applicable shares (26)	Number of Shares pledged or otherwise encumbered (27)	Number of equity shares held in dematerialized form (28)
Prithviwealth Commercial Pvt Ltd	AADFC1270	235500	0	0	0	235500	18.85	0	0	0	0.00	0	0	0	0.00	0
Prithvi Commercial Pvt Ltd	AADFC0086	457600	0	0	0	457600	36.24	0	0	0	0.00	0	0	0	0.00	0
Sub-Totals(A+B)		1135000	0	0	0	1135000	90.87	0	0	0	0.00	0	0	0	0.00	0
Stocks (29) - Equity Shares		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
Stocks (30) - Preference Shares		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
Stocks (31) - Other Equity		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
Stocks (32) - Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
Sub-Totals(C+D)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0
Total Shareholding of Promoter and Promoter Group (14) = (A)+(B)+(C)+(D)		1135000	0	0	0	1135000	90.87	0	0	0	0.00	0	0	0	0.00	0

Details of Shares which remain encumbered may be given here along with details such as number of shareholders, remaining shares held in dematerialized electronic account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchanges

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

### Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN (10)	No. of shares held (18)	No. of fully paid up equity shares held (19)	Pre-emptive rights (20)	No. of shares underlying Depository Receipts (21)	Total no. shares held (22) = (18)+(19)+(21)	Shareholding % calculated as per SCRR,1957 as a % of (A+B+C) *100	No. of Voting Rights			Total as a % of Total Voting Rights	Number of Locked in shares	Total shareholding as a % standing in relation to convertible securities (on a diluted share capital) (23)	Number of Locked in shares (24)	As a % of total shares applicable (25)	As a % of total applicable shares (26)	Number of Shares pledged or otherwise encumbered (27)	Number of equity shares held in dematerialized form (28)
								Class X	Class Y	Total								
Distributions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Mutual Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Alternative Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Principal Financial Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Asst. Other (14a)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Sub-Totals (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Central Government/ State Government/ Provincial/ Public		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	
Sub-Totals (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0.00	0	0	

Category & Name of the Shareholders	PAY (B)	No. of shares held (B)	No. of fully paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (B)+(V)+(VI)	Shareholding % As a % of (A+B+C2) (X-B-C2)	No. of Voting Rights in each class of securities (XX)	Total as a % of Total Voting Rights (XX)	No. of Shares Underlying Outstanding convertible securities (including Depository Receipts) (XI)	Total shareholding as a % of outstanding fully paid-up equity shares (as a percentage of diluted share capital) (XII)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
							Class X	Class Y	Total				
(1) Non-promoter/non-substantial shareholders holding more than 1% of total number of shares	30	25120	0	0	25120	0.56	0	0	0	0.00	0	0	0
(2) Individual shareholders holding more than 1% of total number of shares	3	88880	0	0	88880	8.57	0	0	0	0.00	0	0	0
Stano Ltd		22880											
Surek R Doshi		32000											
Bimal K Singh		33000											
(3) SEPC's appointed with ESOP	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0
Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0
(4) Promoter/Depositories holding (DR) (including SFR)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0
(5) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0.00	0	0	0
Solo Total (B+C2)	33	114000	0	0	114000	9.13	0	0	0	0.00	0	0	0
Total Public Shareholding (B+C2+D+E)	35	114000	0	0	114000	9.13	0	0	0	0.00	0	0	0

Details of the shareholding in the name of Company including their shareholding (No. and %):

Details of Shares which remain unclaimed may be given along with details of the shareholders, remaining shares held in dematerialized form, voting rights which are frozen etc.

Notes:

(1) PAY would not be applied on shares of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institution/Non-Institution holding more than 1% of total number of shares.

(3) For the information pertaining to Depository Receipts, the same can be disclosed in the respective column to the extent information available. The balance to be disclosed as held by custodian.

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders	(E)	No. of shares held (B)	No. of fully paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (B)+(V)+(VI)	Shareholding % As a % of (A+B+C2) (X-B-C2)	Number of Voting Rights in each class of securities (XX)			Total as a % of Total Voting Rights (XX)	No. of Shares Underlying Outstanding convertible securities (including Depository Receipts) (XI)	Total shareholding as a % of outstanding fully paid-up equity shares (as a percentage of diluted share capital) (XII)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
							Class X	Class Y	Total						
(1) Constituent DR Holder															
(2) Non-FCR Holder (if available)															
(3) Employee Benefit Trust (EBT) (as per SEBI Employee Benefit Trust Regulations, 2015)															
(4) Total Non-Promoter-Non Public Shareholding (E+D+G+H)															

Notes:

(1) PAY would not be applied on shares of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institution/Non-Institution holding more than 1% of total number of shares.

(3) For the information pertaining to Depository Receipts, the same can be disclosed in the respective column to the extent information available.

For Little Rock Trade and Investment Ltd.

Deepan Nanda  
(Director)

Date: 10/04/2017

Name & Designation